

Docket No.: 48897-025

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
David T. ADAMS, et al. :
Serial No.: : Group Art Unit:
Filed: March 15, 2001 : Examiner:
For: TECHNIQUES FOR TRADING COMMODITIES ON A PRIVATE TRADING
SYSTEM AND NOT REGULATED BY THE GOVERNMENT

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents and Trademarks
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. Since the Search Report was from the U.S. JPO or EPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner.

Serial No.:

09/787294
JC03 Rec'd PCT/PTO 15 MAR 2001

Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37 CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION				ATTY. DOCKET NO. 48897-025		SERIAL NO. 09/787294	
(PTO-1449)				APPLICANT David T. ADAMS, et al.			
				FILING DATE March 15, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER				DATE CONSIDERED			